## STIC Biotechnology Systems Branch

## RAW SEQUENCE LISTING ERROR REPORT

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number:

Source:

Date Processed by STIC:

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.
PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION AND PATENTIN SOFTWARE QUESTIONS, PLEASE CONTACT MARK SPENCER, TELEPHONE: 571-272-2510; FAX: 571-273-0221

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 4.4.0 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

http://www.uspto.gov/web/offices/pac/checker/chkrnote.htm

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail. Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

- 1. EFS-Bio (<a href="http://www.uspto.gov/ebc/efs/downloads/documents.htm">http://www.uspto.gov/ebc/efs/downloads/documents.htm</a>, EFS Submission User Manual ePAVE)
- 2. U.S. Postal Service: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
- Hand Carry, Federal Express, United Parcel Service, or other delivery service (EFFECTIVE 01/14/05):
   U.S. Patent and Trademark Office, Mail Stop Sequence, Customer Window, Randolph Building, 401 Dulany Street,
   Alexandria, VA 22314

Revised 01/10/06

## Raw Sequence Listing Error Summary

ERROR DETECTED	SUGGESTED CORRECTION SERIAL NUMBER: 10/550,525H
ATTN: NEW RULES CASES:	PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE
Wrapped Nucleics Wrapped Aminos	The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor after creating it. Please adjust your right margin to .3; this will prevent "wrapping."
2Invalid Line Length	The rules require that a line not exceed 72 characters in length. This includes white spaces.
3 Misaligned Amino Numbering	The numbering under each 5th amino acid is misaligned. Do not use tab codes between numbers; use space characters, instead.
4Non-ASCII	The submitted file was not saved in ASCII(DOS) text, as required by the Sequence Rules. Please ensure your subsequent submission is saved in ASCII text.
5Variable Length	Sequence(s)contain n's or Xaa's representing more than one residue. Per Sequence Rules, each n or Xaa can only represent a single residue. Please present the maximum number of each residue having variable length and indicate in the <220>-<223> section that some may be missing.
6Patentin 2.0 "bug"	A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid sequences(s) Normally, PatentIn would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. This applies to the mandatory <220>-<223> sections for Artificial or Unknown sequences.
(OLD RULES)	Sequence(s)missing. If intentional, please insert the following lines for each skipped sequence:  (2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)  (i) SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading)  (xi) SEQUENCE DESCRIPTION:SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)  This sequence is intentionally skipped  Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to include the skipped sequences.
(NEW RULES)	Sequence(s) missing. If intentional, please insert the following lines for each skipped sequence.  <10> sequence id number  <400> sequence id number  000
(NEW RULES)	Use of n's and/or Xaa's have been detected in the Sequence Listing.  Per 1.823 of Sequence Rules, use of <220>-<223> is MANDATORY if n's or Xaa's are present.  In <220> to <223> section, please explain location of n or Xaa, and which residue n or Xaa represents.
Response	Per 1.823 of Sequence Rules, the only valid <213> responses are: Unknown, Artificial Sequence, or scientific name (Genus/species). <220>-<223> section is required when <213> response is Unknown or is Artificial Sequence. (see item 11 below)
Use of <220>	Sequence(s)missing the <220> "Feature" and associated numeric identifiers and responses. Use of <220> to <223> is MANDATORY if <213> "Organism" response is "Artificial Sequence" or "Unknown." Please explain source of genetic material in <220> to <223> section or use "chemically synthesized" as explanation. (See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32), also Sec. 1.823 of Sequence Rules
"bug"	Please do not use "Copy to Disk" function of Patentin version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk.
3 Misuse of n/Xaa	"n" can only represent a single <u>nucleotide;</u> "Xaa" can only represent a single <u>amino acid</u>

AMC - STIC Systems Branch - 03/02/06



IFWP

RAW SEQUENCE LISTING DATE: 10/12/2006 PATENT APPLICATION: US/10/550,525A TIME: 09:36:42

Input Set: A:\Sequence listing.ST25.txt
Output Set: N:\CRF4\10122006\J550525A.raw

```
3 <110> APPLICANT: Emory University
      5 <120> TITLE OF INVENTION: CXCR4 Antagonists and Methods of Their Use
      7 <130> FILE REFERENCE: 50508-2331
C--> 9 <140> CURRENT APPLICATION NUMBER: US/10/550,525A
                                                               see pr 1-2,4,6
C--> 10 <141> CURRENT FILING DATE: 2005-09-22
     12 <160> NUMBER OF SEQ ID NOS: 20
     14 <170> SOFTWARE: PatentIn version 3.3
     16 <210> SEQ ID, NO: 1
     17 <211> LENGTH: 14
                                                                 Does Not Comply
     18 <212> TYPE: PRT
                                                                Corrected Diskette Needed
                                                    please viskette Needen

qui à

further description

(e.g., source of genetic

(e.g., source of moteriel,

furetion, etc)

see item/on

See Tunnon

Sheet
     19 <213> ORGANISM: Artificial
     21 <220> FEATURE:
     22 <223> OTHER INFORMATION: sequence of /T140
     25 <220> FEATURE: '
     26 <221> NAME/KEY: MISC_FEATURE
     27 <222> LOCATION: (3)..(3)
     28 <223 > OTHER INFORMATION: X = Nal
     30 <220> FEATURE:
     31 <221> NAME/KEY: MISC_FEATURE
     32 <222> LOCATION: (8)..(8)
     33 <223> OTHER INFORMATION: X = dLys
     35 <220> FEATURE:
     36 <221> NAME/KEY: MISC_FEATURE
     37 <222> LOCATION: (12)..(12)
    38 <223> OTHER INFORMATION: X = Cit
    .40 <400> SEQUENCE: 1 . . . .
  -> 42 Arg Arg Xaa Cys Tyr Arg Lys Xaa Pro Tyr Arg Xaa Cys Arg
    43 1
                                               10
    46 <210> SEQ ID NO: 2
    47 <211> LENGTH: 14
    48 <212> TYPE: PRT
    49 <213> ORGANISM: Artificial
    51 <220> FEATURE:
    52 <223> OTHER INFORMATION: sequence of TN14003
    55 <220> FEATURE:
    56 <221> NAME/KEY: MISC_FEATURE
    57 <222> LOCATION: (3)..(3)
    58 <223> OTHER INFORMATION: X = Nal
    60 <220> FEATURE:
    61 <221> NAME/KEY: MISC_FEATURE
    62 <222> LOCATION: (6)..(6)
    63 <223> OTHER INFORMATION: X = Cit
    65 <220> FEATURE:
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DATE: 10/12/2006

TIME: 09:36:42

Input Set : A:\Sequence listing.ST25.txt Output Set: N:\CRF4\10122006\J550525A.raw 66 <221> NAME/KEY: MISC\_FEATURE 67 <222> LOCATION: (8)..(8) 68 <223> OTHER INFORMATION: X = dLys 70 <220> FEATURE: 71 <221> NAME/KEY: MISC\_FEATURE 72 <222> LOCATION: (12)...(12) 73 <223> OTHER INFORMATION: X = Cit 75 <400> SEQUENCE: 2 W--> 77 Arg Arg Xaa Cys Tyr Xaa Lys Xaa Pro Tyr Arg Xaa Cys Arg ·78 1 10 81 <210> SEQ ID NO: 3 82 <211> LENGTH: 18 83 <212> TYPE: PRT 84 <213> ORGANISM: Artificial 86 <220> FRATURE: 87 <223> OTHER INFORMATION: sequence of 89 <400> SEQUENCE: 3 91 Arg Arg Trp Cys Tyr Arg Lys Cys Tyr Lys Gly Tyr Cys Tyr Arg Lys 92 1 10 15 95 Cys Arg 99 <210> SEQ ID NO: 4 100 <211> LENGTH: 21 101 <212> TYPE: DNA 102 <213> ORGANISM: Artificial 104 <220> FEATURE: 105 <223> OTHER INFORMATION: cDNA sequence segments of CXCR4 107 <400> SEQUENCE: 4 108 aataaaatct tcctgcccac c 21 111 <210> SBQ ID NO: 5 112 <211> LENGTH: 21 113 <212> TYPE: DNA 114 <213> ORGANISM: Artificial 116 <220> FEATURE: 117 <223> OTHER INFORMATION: cDNA sequence segments of CXCR4 119 <400> SEQUENCE: 5 120 aaggaagctg ttggctgaaa a 21 123 <210> SEQ ID NO: 6 124 <211> LENGTH: 19 125 <212> TYPE: DNA 126 <213> ORGANISM: Artificial · 128 <220> FEATURE: 129 <223> OTHER INFORMATION: CXCR4 cDNA target sequence 131 <400> SEQUENCE: 6 132 taactacacc gaggaaatq 19 135 <210> SEQ ID NO: 7 136 <211> LENGTH: 19 137 <212> TYPE: DNA 138 <213> ORGANISM: Artificial

RAW SEQUENCE LISTING

PATENT APPLICATION: US/10/550,525A

140 <220> FEATURE:

RAW SEQUENCE LISTING DATE: 10/12/2006
PATENT APPLICATION: US/10/550,525A TIME: 09:36:43

Input Set : A:\Sequence listing.ST25.txt
Output Set: N:\CRF4\10122006\J550525A.raw

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tcttcttaac tggcattgt	19
<210> SEQ ID NO: 8	
<211> LENGTH: 19	
 <212> TYPE: DNA	
<213> ORGANISM: Artificial	
<220> FEATURE:	
<223> OTHER INFORMATION: CXCR4 cDNA target sequences	
<400> SEQUENCE: 8	4
tctttgccaa cgtcagtga	19
<210> SEQ ID NO: 9	
<211> LENGTH: 19	
<212> TYPE: DNA	
<213> ORGANISM: Artificial	
 <220> FEATURE:	
<223> OTHER INFORMATION: CXCR4 cDNA target sequences	
<400> SEQUENCE: 9	
gtttcagcac atcatggtt	19
<210> SEQ ID NO: 10	
<211> LENGTH: 19	
<212> TYPE: DNA	
<213> ORGANISM: Artificial	
<220> FEATURE:	
<223> OTHER INFORMATION: CXCR4 cDNA target sequence	
<400> SEQUENCE: 10	
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<210> SEQ ID NO: 11	
<211> LENGTH: 19	
<212> TYPE: DNA <213> ORGANISM: Artificial	
<220> FEATURE:	
<223> OTHER INFORMATION: CXCR4 cDNA target sequences <400> SEQUENCE: 11	
teetgeetgg tattgteat	
<210> SEQ ID NO: 12	19
<211> LENGTH: 19	
<211> DERGIA: 19 <212> TYPE: DNA	
<213> ORGANISM: Artificial	
<220> FEATURE:	
<223> OTHER INFORMATION: CXCR4 cDNA target sequences	
<400> SEQUENCE: 12	
teetgteetg etattgeat	3.0
<210> SEQ ID NO: 13	19
<211> LENGTH: 19	
 <212> TYPE: DNA	
<213> ORGANISM: Artificial	
<220> FEATURE:	
<223> OTHER INFORMATION: CXCR4 cDNA target sequences	
 The same throughton. Chert char carget sequences	

RAW SEQUENCE LISTING DATE: 10/12/2006
PATENT APPLICATION: US/10/550,525A TIME: 09:36:43

Input Set : A:\Sequence listing.ST25.txt
Output Set: N:\CRF4\10122006\J550525A.raw

```
215 <400> SEQUENCE: 13
216 gcatcgactc cttcatcct
                                                                            19
219 <210> SEQ ID NO: 14
220 <211> LENGTH: 19
221 <212> TYPE: DNA
222 <213> ORGANISM: Artificial
224 <220> FEATURE:
225 <223> OTHER INFORMATION: CXCR4 cDNA target sequences
227 <400> SEQUENCE: 14
228 ggaaagcgag gtggacatt
                                                                            19
231 <210> SEQ ID NO: 15
232 <211> LENGTH: 25
                                     what is its source?
233 <212> TYPE: DNA
234 <213> ORGANISM: Artificial
236 <220> FEATURE:
                             siRNA
237 <223> OTHER INFORMATION:
239 <400> SEQUENCE: 15
240 aauaaaaucu uccugcccac cdtdt
                                                                            25
243 <210> SEQ ID NO: 16
244 <211> LENGTH: 25
245 <212> TYPE: DNA
246 <213> ORGANISM: Artificial
248 <220> FEATURE:
249 <223> OTHER INFORMATION ( sirnA
251 <400> SEQUENCE: 16
252 aaggaagcug uuggcugaaa adtdt
                                                                            25
255 <210> SEQ ID NO: 17
256 <211> LENGTH: 20
257 <212> TYPE: DNA
258 <213> ORGANISM: Artificial
260 <220> FEATURE:
261 <223> OTHER INFORMATION: CXCR4-specific primers
263 <400> SEQUENCE: 17
264 gaaccetgtt teegtgaaga
                                                                            20
267 <210> SEQ ID NO: 18
268 <211> LENGTH: 20
269 <212> TYPE: DNA
270 <213> ORGANISM: Artificial
272 <220> FEATURE:
273 <223> OTHER INFORMATION: CXCR4-specific primers
275 <400> SEQUENCE: 18
276 cttgtccgtc atgcttctca
                                                                            20
279 <210> SEQ ID NO: 19
280 <211> LENGTH: 20
281 <212> TYPE: DNA
282 <213> ORGANISM: Artificial
284 <220> FEATURE:
285 <223> OTHER INFORMATION: primer
287 <400> SEQUENCE: 19
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RAW SEQUENCE LISTING DATE: 10/12/2006 PATENT APPLICATION: US/10/550,525A TIME: 09:36:43

Input Set : A:\Sequence listing.ST25.txt
Output Set: N:\CRF4\10122006\J550525A.raw

288	gacaggatgc agaaggagat .	20
291	<210> SEQ ID NO: 20	
292	<211> LENGTH: 20	
293	<212> TYPE: DNA	
294	<213> ORGANISM: Artificial	
296	<220> FEATURB:	
297	<223> OTHER INFORMATION: primer	
299	<400> SEQUENCE: 20	
300	tgcttgctga tccacatctg	20

VERIFICATION SUMMARY DATE: 10/12/2006 PATENT APPLICATION: US/10/550,525A TIME: 09:36:44

Input Set : A:\Sequence listing.ST25.txt
Output Set: N:\CRF4\10122006\J550525A.raw

L:9 M:270 C: Current Application Number differs, Replaced Current Application Number

L:10 M:271 C: Current Filing Date differs, Replaced Current Filing Date

L:42 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:1 after pos.:0 L:77 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:2 after pos.:0 RAW SEQUENCE LISTING ERROR SUMMARY PATENT APPLICATION: US/10/550,525A

DATE: 10/12/2006 TIME: 09:36:44

FYI

Input Set: A:\Sequence listing.ST25.txt
Output Set: N:\CRF4\10122006\J550525A.raw

## Please Note:

Use of n and/or Xaa have been detected in the Sequence Listing. Please review the Sequence Listing to ensure that a corresponding explanation is presented in the <220> to <223> fields of each sequence which presents at least one n or Xaa.

Seq#:1; Xaa Pos. 3,8,12 ( Seq#:2; Xaa Pos. 3,8,8)12

Invalid <213> Response:

Use of "Artificial" only as "213 Organism" response is incomplete, per 1.823(b) of New Sequence Rules Valid response is Artificial Sequence.

Seq#:1,2,3,4,5,6,7,8,9,10,11 12,18 14,15,16,17,18,19,20